

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
20 October 2005 (20.10.2005)

PCT

(10) International Publication Number  
WO 2005/096982 A1

(51) International Patent Classification<sup>7</sup>: A61B 19/00 (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(21) International Application Number: PCT/US2005/010871

(22) International Filing Date: 30 March 2005 (30.03.2005)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 60/557,872 31 March 2004 (31.03.2004) US

(71) Applicant (for all designated States except US): SMITH & NEPHEW, INC. [US/US]; 1450 Brooks Road, Memphis, TN 38116 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): MC COMBS, Daniel [US/US]; 77 Vance Avenue #302, Memphis, TN 38103 (US).

(74) Agent: PRATT, John, S.; Kilpatrick Stockton LLP, Suite 2800, 1100 Peachtree Street, Atlanta, GA 30309-4530 (US).

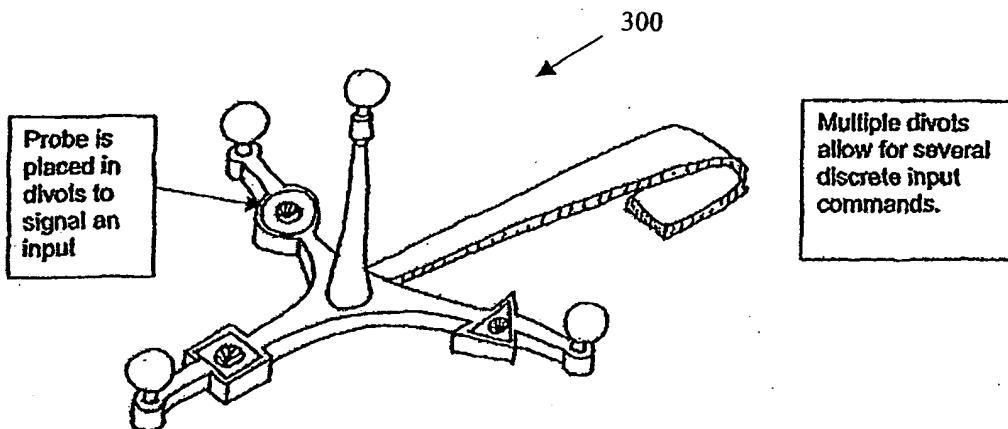
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS AND APPARATUSES FOR PROVIDING A REFERENCE ARRAY INPUT DEVICE



(57) Abstract: Methods and apparatuses for providing a reference array input device for use with a computer-aided surgical navigation system. A reference array input device according to an embodiment of the present invention is configured to provide a plurality of fiducial members comprising portions capable of being sensed by at least two sensors associated with the computer-aided surgical navigation system in order to determine position and orientation of the reference array input device by the system. The reference array input device can include a plurality of indicator detectors adapted to facilitate selection of a corresponding instruction associated with the computer-aided surgical navigation system. The reference array input device can also include a mount adapted to support the reference array input device adjacent to an object, a surgical instrument, or a joint replacement prosthesis.

WO 2005/096982 A1

BEST AVAILABLE COPY

## METHODS AND APPARATUSES FOR PROVIDING A REFERENCE ARRAY INPUT DEVICE

### RELATED APPLICATION

5 This application relates to and claims the benefit on United States Provisional Application No. 60/557,872, filed March 31, 2004 and entitled "Reference Array Based User Input Device," the entire contents of which are hereby expressly incorporated by this reference.

### 10 TECHNICAL FIELD

The invention relates to computer-aided surgery, and more particularly relates to methods and apparatuses for providing a reference array input device for use in a computer-aided surgery.

### 15 BACKGROUND

Many surgical procedures require a wide array of instrumentation and other surgical items. Necessary items may include, but are not limited to: sleeves to serve as entry tools, working channels, drill guides and tissue protectors; scalpels; entry awls; guide pins; reamers; reducers; distractors; guide rods; 20 endoscopes; arthroscopes; saws; drills; screwdrivers; awls; taps; osteotomes and wrenches. In many surgical procedures, including orthopedic procedures, it may be desirable to associate some or all of these items with a guide and / or handle incorporating a surgical reference, allowing the instrument to be used with a computer-aided surgical navigation system.

25 Several manufacturers currently produce computer-aided surgical navigation systems. The TREON™ and ION™ systems with FLUORONAV™ software manufactured by Medtronic Surgical Navigation Technologies, Inc. are examples of such systems. The BrainLAB VECTORVISION™ system is another example of such a surgical navigation system. Systems and processes for 30 accomplishing computer-aided surgery are also disclosed in USSN 10/084,012, filed February 27, 2002 and entitled "Total Knee Arthroplasty Systems and Processes"; USSN 10/084,278, filed February 27, 2002 and entitled "Surgical

Navigation Systems and Processes for Unicompartmental Knee Arthroplasty"; USSN 10/084,291, filed February 27, 2002 and entitled "Surgical Navigation Systems and Processes for High Tibial Osteotomy"; International Application No. US02/05955, filed February 27, 2002 and entitled "Total Knee Arthroplasty Systems and Processes"; International Application No. US02/05956, filed February 27, 2002 and entitled "Surgical Navigation Systems and Processes for Unicompartmental Knee Arthroplasty"; International Application No. US02/05783 entitled "Surgical Navigation Systems and Processes for High Tibial Osteotomy"; USSN 10/364,859, filed February 11, 2003 and entitled "Image Guided Fracture Reduction," which claims priority to USSN 60/355,886, filed February 11, 2002 and entitled "Image Guided Fracture Reduction"; USSN 60/271,818, filed February 27, 2001 and entitled "Image Guided System for Arthroplasty"; and USSN 10/229,372, filed August 27, 2002 and entitled "Image Computer Assisted Knee Arthroplasty", the entire contents of each of which are incorporated herein by reference as are all documents incorporated by reference therein.

These systems and processes use position and/or orientation tracking sensors such as infrared sensors acting stereoscopically or other sensors acting in conjunction with surgical references to track positions of body parts, surgery-related items such as implements, instrumentation, trial prosthetics, prosthetic components, and virtual constructs or references such as rotational axes which have been calculated and stored based on designation of bone landmarks. Processing capability such as any desired form of computer functionality, whether standalone, networked, or otherwise, takes into account the position and orientation information as to various items in the position sensing field (which may correspond generally or specifically to all or portions or more than all of the surgical field) based on sensed position and orientation of their associated surgical references, or based on stored position and/or orientation information. The processing functionality correlates this position and orientation information for each object with stored information, such as a computerized fluoroscopic imaged field, a wire frame data file for rendering a representation of an instrument component, trial prosthesis or actual prosthesis, or a computer generated file relating to a rotational axis or other virtual construct or reference.

The processing functionality then displays position and orientation of these objects on a rendering functionality, such as a screen, monitor, user interface, or otherwise. Thus, these systems or processes, by sensing the position of surgical references, can display or otherwise output useful data relating to predicted or 5 actual position and orientation of surgical instruments, body parts, surgically related items, implants, and virtual constructs for use in navigation, assessment, and otherwise performing surgery or other operations.

Some of the surgical references used in these systems may emit or reflect infrared light that is then detected by an infrared camera. The references may be 10 sensed actively or passively by infrared, visual, sound, magnetic, electromagnetic, x-ray or any other desired technique. An active reference emits energy, and a passive reference merely reflects energy. Some surgical references may have markers or fiducials that are traced by an infrared sensor to determine the position and orientation of the reference and thus the position and 15 orientation of the associated instrument, item, implant component or other object to which the reference is attached.

In addition to surgical references with fixed fiducials, modular fiducials, which may be positioned independent of each other, may be used to reference points in the coordinate system. Modular fiducials may include reflective 20 elements which may be tracked by two, sometimes more, sensors whose output may be processed in concert by associated processing functionality to geometrically calculate the position and orientation of the item to which the modular fiducial is attached. Like fixed fiducial surgical references, modular fiducials and the sensors need not be confined to the infrared spectrum – any 25 electromagnetic, electrostatic, light, sound, radio frequency or other desired technique may be used. Similarly, modular fiducials may "actively" transmit reference information to a tracking system, as opposed to "passively" reflecting infrared or other forms of energy.

Surgical references useable with the above-identified navigation systems 30 may be secured to any desired structure, including the above-mentioned surgical instruments and other items. The surgical references may be secured directly to the instrument or item to be referenced. However, in many instances it will not be

practical or desirable to secure the surgical references to the instrument or other item. Rather, in many circumstances it will be preferred to secure the surgical references to a handle and / or a guide adapted to receive the instrument or other item. For example, drill bits and other rotating instruments cannot be tracked by 5 securing the surgical reference directly to the rotating instrument because the reference would rotate along with the instrument. Rather, a preferred method for tracking a rotating instrument is to associate the surgical reference with the instrument or item's guide or handle.

Various arrangements and combinations of fiducials or markers, such as 10 navigational arrays, have been implemented for use with computer-aided surgical navigation systems. Conventional navigational arrays typically include coplanar markers, wherein all of the markers are in a single plane. Use of such navigational arrays can be affected by "line of sight" problems. That is, when the angle between the plane of the array and the camera becomes acute, a marker 15 may be obscured by other markers that are coplanar with it, resulting in limited visibility of the array. When all of the markers in the array cannot be seen in an image, locating the exact position of the marker relative to a patient's body can be difficult. When line of sight problems occur during a computer-aided surgical procedure, the position of the surgical instrument associated with the navigational 20 array or the position of the navigational array itself must be realigned or repositioned, increasing the time and effort associated with the surgical procedure.

In some of these systems, a user or surgeon can input data to the processing functionality via a keyboard, mouse, tablets, or touch screen. 25 However, these types of input devices can be difficult to sterilize prior to or during a surgical procedure. Operation of these types of devices while performing a surgical procedure can also be cumbersome and increase the time needed to perform the procedure. Other systems utilize input devices on a probe or other surgical instrument to input data to the processing functionality. However, these 30 types of systems can be expensive and complex to operate.

## SUMMARY

Some or all of the above needs are addressed by aspects and embodiments of the present invention. Various aspects and embodiments of the present invention include reference array input devices adapted to be sensed by a computer-aided surgical navigation system. Such reference array input devices can facilitate selection of one or more instructions or commands associated with a computer-aided surgical navigation system. Such reference array input devices can also be adapted for mounting to a wide variety of surgical instruments and other items. The reference array input devices can allow particular positions and orientations of the reference array input devices to be sensed by a computer-aided surgical navigation system. For instance, reference array input devices according to certain embodiments of the present invention may be used to locate particular positions and orientations of the reference array input devices with respect to a patient's body for performance of surgical procedures, such as installation of an implant. Additionally, reference array input devices according to certain embodiments of the present invention may allow particular positions and orientations of surgical instruments and other items associated with the reference array input devices to be registered in and tracked by a computer-aided surgical navigation system. Such systems may track the position and orientation of the surgical item by tracking the position and orientation of the surgical reference associated with the reference array input devices.

Reference array input devices according to certain aspects and embodiments of the present invention may include fiducial members, indication detectors, and a mount. In one embodiment, a plurality of fiducial members is adapted to be sensed by at least two sensors associated with a computer-aided surgical navigation system in order to determine position and orientation of the reference array input device by the system. A plurality of indication detectors is adapted to facilitate selection of corresponding instructions associated with the computer-aided surgical navigation system. A mount can be adapted to support the reference array input device adjacent to an object.

In at least one embodiment, a reference array input device can be associated with an object such as a surgical instrument or other surgically-related device.

Methods according to certain aspects and embodiments of the present invention may include a method for performing a surgical procedure using a reference array input device and a computer-aided surgical navigation system. In one embodiment, a method can include mounting a reference array input device adjacent to an object or surgical instrument. The reference array input device in this embodiment can include a plurality of fiducial members adapted to be sensed by at least two sensors associated with the computer-aided surgical navigation system in order to determine position and orientation of the reference array input device by the system. Further, the reference array input device can include a plurality of indication detectors adapted to facilitate selection of a corresponding instruction associated with the computer-aided surgical navigation system. The reference array input device can also include a mount adapted to support the reference array input device adjacent to an object or surgical instrument. The method can also include sensing a portion of at least three of the fiducial members by a computer-aided surgical navigation system, and determining a position associated with the object or surgical instrument based in part on sensing the portions of the at least three of the fiducial members. The method further includes selecting at least one of the indication detectors, whereby a corresponding instruction can be received by the computer-aided surgical navigation system.

Methods in accordance with embodiments of the invention can include a method for locating a position of a joint replacement prosthesis using a computer-aided surgical navigation system. The method can include providing a reference array input device. The reference array input device in this embodiment can include a plurality of fiducial members adapted to be sensed by at least two sensors associated with the computer-aided surgical navigation system in order to determine position and orientation of the reference array input device by the system. Further, the reference array input device can include a plurality of indicator detectors adapted to facilitate selection of a corresponding instruction

associated with the computer-aided surgical navigation system. The reference array input device can also include a mount adapted to support the reference array input device adjacent to a joint replacement prosthesis. The method can also include mounting the reference array input device adjacent to a joint 5 replacement prosthesis, and sensing a portion of at least three of the fiducial members by a computer-aided surgical navigation system. Furthermore, the method can include determining a position associated with the joint replacement prosthesis based in part on sensing the portions of the at least three of the fiducial members, and selecting at least one of the indicator detectors, whereby a 10 corresponding instruction can be received by the computer-aided surgical navigation system. The method also includes mounting the joint replacement prosthesis to another corresponding joint replacement prosthesis for a joint replacement.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a reference array input device according to an embodiment of the present invention in perspective view.

FIG. 2 shows an exemplary environment for use of the reference array 20 input device of FIG. 1 according to an embodiment of the present invention in perspective view.

FIG. 3 is a perspective view of a particular embodiment for a reference array input device according to an embodiment of the present invention.

FIG. 4 illustrates an associated surgical instrument for use with a reference 25 array input device according to an embodiment of the present invention.

FIG. 5 illustrates a flowchart of a method of use for a reference array input device according to an embodiment of the present invention and a computer-aided surgical navigation system.

FIG. 6 illustrates a flowchart of a method of use for an apparatus according 30 to an embodiment of the present invention and a computer-aided surgical navigation system.

FIG. 7 illustrates a flowchart of a method of use for an apparatus according to an embodiment of the present invention and a computer-aided surgical navigation system.

5

## DETAILED DESCRIPTION

FIG. 1 shows a reference array input device 100 according to a first embodiment of the present invention. A reference array input device 100 can be used to define the position and orientation of various surgical instruments, orthopedic devices, or other surgical items. The reference array input device 100 10 can allow surgical instruments, orthopedic devices, or other surgical items to be tracked by a computer-aided surgical navigation system, such as the system 200 shown in FIG. 2. The reference array input device 100 can also provide one or more input devices adapted to provide one or more corresponding instructions to a computer-aided surgical navigation system, such as 200 in FIG. 2. In some 15 embodiments, a reference array input device 100 can be used to mark exterior or interior portions of an individual or body to be tracked by a computer-aided surgical navigation system, such as 200 in FIG. 2.

The reference array input device 100 shown in FIG. 1 includes at least one fiducial member 102, 104, 106; at least one input device 108, 110, 112; and a 20 mount 114. Each of the fiducial members 102, 104, 106 can be positioned for sensing by at least two sensors associated with a computer-aided surgical navigation system (shown as 200 in FIG. 2) in order to determine position and orientation of the reference array input device 100 by the system 200. A portion of each of the three fiducial members 102, 104, 106 intersects at a common point 25 116, while respective ends of the fiducial members 102, 104, 106 extend away from the common point 116. In this example, the common point 116 connects at least some or all of the fiducial members 102, 104, 106. Furthermore in the example shown, the common point 116 is "non-segmenting" since the common point does not subdivide or segment all of the fiducial members into sub-portions. 30 In the embodiment shown, each of the three of the fiducial members 102, 104, 106 are arranged to form a flat Y-shaped configuration. In another embodiment, a fourth fiducial member (not shown) can extend from the common point 116, and

can be oriented orthogonal to each of the other three fiducial members 102, 104, 106. In other embodiments, each of the fiducial members can be positioned orthogonally to at least one other fiducial member. Greater or fewer numbers of fiducial members can be used with other embodiments of the present invention.

5 A respective fiducial marker, such as a marker elements 118, 120, 122 can mount adjacent to an opposing end 124, 126, 128 of a fiducial member 102, 104, 106 along a fiducial member, or at the common point 116. Each fiducial marker 118, 120, 122 can include a conventional reflective or radiopaque material, or other material capable of being sensed by a computer-aided surgical navigation 10 system. For example, a fiducial marker 118, 120, 122 can be sensed by a computer-aided surgical navigation system using at least one of the following: infrared, sound, visual, magnetic, electromagnetic, and x-ray.

15 In one embodiment, a fiducial marker 118, 120, 122 can be integrated with each fiducial member. For example, a fiducial member and fiducial marker can be manufactured as a single, integrated piece, wherein a portion of each fiducial member is capable of being sensed by a computer-aided surgical navigation system.

20 The embodiment shown in FIG. 1 provides a reference array input device 100 with at least one input device 108, 110, 112 adapted to provide a corresponding instruction to a computer-aided surgical navigation system, such as 200 in FIG. 2. As shown in FIG. 1, an input device 108, 110, 112 can be oriented between the common point 116 and at least one opposing end 124, 126, 128. Each input device 108, 110, 112 can include a respective indication 25 detector 130, 132, 134, and a respective identification indicator 136, 138, 140. Fewer or greater numbers of input devices 108, 110, 112, indication detectors 130, 132, 134, and identification indicators 136, 138, 140 can be used in accordance with embodiments of the present invention. In the embodiment shown, more than one input device, indication detector and/or identification 30 indicator can be oriented between the common point 116 and a single opposing end, such as 126. In another embodiment, multiple input devices, indication detectors and/or identification indicators can be incorporated into a single component oriented between the common point 116 and a single opposing end.

In yet another embodiment, one or more input devices, indication detectors and/or identification indicators can be incorporated at the common point 116 and/or opposing end. In those embodiments without a common point 116, one or more input devices, indication detectors and/or identification indicators can be 5 utilized with a reference array input device 100 with at least one fiducial member such as 102, and a mount, such as 114.

In the example shown in FIG. 1, each indication detector 130, 132, 134 can be a metallic cone-shaped recess positioned adjacent to a respective identification indicator 136, 138, 140, such as a unique, geometrically shaped 10 piece mounted to a portion of the reference array input device 100. Each indication detector 130, 132, 134 can be adapted to receive, or otherwise detect, a user input for selecting a particular, predefined instruction for a computer-aided surgical navigation system, such as 200 in FIG. 2. Each respective identification indicator 136, 138, 140 can correspond to a respective predefined instruction for 15 a computer-aided surgical navigation system, such as 200 in FIG. 2.

In one embodiment, a user using a surgical instrument, such as a probe 218 in FIG. 2, associated with a computer-aided surgical navigation system 200 can move the instrument or probe 218 adjacent to, or otherwise make direct contact with, an indication detector, such as 130. The adjacent movement, or 20 direct contact with, an indication detector 130 can be detected, and a corresponding signal indicating the movement or contact can be generated and transmitted to the computer-aided surgical navigation system 200. Computing functionality, such as a processor, associated with the computer-aided surgical navigation system 200 can receive the signal associated with the movement or 25 contact with the indication detector 130. The computing functionality, such as 208 in FIG. 2, can associate the signal with a predefined instruction, and can execute, facilitate, or otherwise carry out the instruction as needed. In one example, the predefined instruction can be an input of a particular length or dimension of an orthopedic device to be installed in a body.

30 In other embodiments, an indication detector can include, but is not limited to, an input button, an input device, a contact device, a sensor device, a detector device, a transmitter device, a receiver device, or an infrared device. In another

embodiments, an identification indicator can include, but is not limited to, a triangle, a square, a circle, a star, a polygon, an oval, a unique geometrical shape, a number, a letter, alphanumeric text, a symbol, or a color. In other embodiments, a user input can include, but is not limited to, direct contact with a portion of a surgical instrument, direct contact with a portion of a user input device, movement of a portion of a surgical instrument adjacent to the indication detector, or movement of a portion of a user input device adjacent to the indication detector. An instruction for a computer-aided surgical navigation system can include, but is not limited to, a tab command, a select command, an increment function, a decrement function, a forward function, a backward function, a functional command, a function, an operational command, an operation. The instruction for a computer-aided surgical navigation system can be associated with at least one of the following: a size selection, a shape selection, a numeric selection, an alphanumeric selection, a selection of a range of sizes, a selection of a range of numbers, a material selection, a body component selection, an orthopedic component selection, or a surgical procedure selection.

The particular arrangement for a reference array input device 100 shown in FIG. 1 can be used by a computer-aided surgical navigation system to locate the position and orientation of the reference array input device 100 by defining at least three fiducial markers at all times. Additional fiducial markers or marker elements, and corresponding axial members, can be used to further reduce the sensitivity of the reference array input device 100 to any "line of sight" problems.

The present invention is not limited to the "Y-shaped" orientation of fiducial members and markers shown in FIG. 1, and can include other orientations in accordance with embodiments of the invention. Other embodiments of a navigational array can include fewer or greater numbers of input devices, fiducial members and/or fiducial markers in accordance with embodiments of the invention. Furthermore, other configurations, shapes, and lengths of input devices, fiducial members and/or fiducial markers can exist in accordance with embodiments of the invention. In other embodiments, input devices, fiducial

markers and marker elements can have different configurations than those shown in FIG. 1, such as a geometric shape, a sphere, a block, or a plate. Furthermore, in other embodiments, different geometric shapes can be used for each input device, fiducial marker and/or marker element of a reference array 5 input device.

The mount 114 shown can associate the reference array input device 100 with a portion of a patient's body, a surgical instrument, orthopedic device, or surgical item such as a joint replacement prosthesis. In the example shown in FIG. 1, the mount 114 includes a fixator 142 and an array 144. The fixator 142 10 can mount to one or more pins that have been previously mounted to a portion of a patient's body. The fixator 142 and array 144 can then be connected, jointed, or otherwise mounted to each other, wherein the array 144 can support the other components of the reference array input device 100. In another embodiment, the mount 114 can include a connector, such as a two-pronged connector that can 15 be mounted to a corresponding two-holed connector previously mounted to a portion of a patient's body, a surgical instrument, or item such as a joint replacement prosthesis. Other configurations for a mount in accordance with embodiments of the invention can exist.

In other embodiments, the mount 114 can be any suitable structure for 20 associating the reference array input device 100 with a portion of a patient's body, a surgical instrument, orthopedic device, or item. For example, a mount 114 can include, but is not limited to, a threaded device, a mechanical-type connecting device, a magnetic-type connecting device, an electro-mechanical or 25 electro-magnetic type connecting device, an adhesive-type connecting device, or any other suitable connecting device adapted to associate the reference array input device 100 with a portion of a patient's body, a surgical instrument, orthopedic device, or item.

In one embodiment, a mount can be formed integrally with a surgical instrument, orthopedic device, or item such as a joint replacement prosthesis, 30 wherein the reference array input device and the surgical instrument, orthopedic device, or item can be manufactured as a single piece.

FIG. 2 is a schematic view showing an environment for using a reference array input device according to the present invention in a surgery on a knee, in this case a knee arthroplasty. A reference array input device according to the present invention can be used to track particular locations associated with 5 various body parts such as tibia 202 and femur 204 to which reference arrays of the sort described above in FIG. 1 may be implanted, attached, or otherwise associated physically, virtually, or otherwise. A reference array input device according to the present invention can also be used to receive a user input and provide one or more corresponding instructions to a computer-aided surgical 10 navigation system, such as 200 in FIG. 2. As shown and described in the embodiment shown in FIG. 1, a reference array input device can include fiducial markers, such as marker elements, capable of being sensed by a computer-aided surgical navigation system. The reference array input device 100 shown in FIG. 1 can also include input devices, such as cone-shaped recesses, capable of 15 receiving or otherwise transmitting a user input to a computer-aided surgical navigation system.

In the example shown in FIG. 2, a position sensor 208 can sense, store, process and/or output data relating to position and orientation of at least one reference array input device 206, and thus components such as 202 and 204 to 20 which they are attached or otherwise associated. The position sensor 208, as mentioned above, may be any sort of sensor functionality for sensing position and orientation of reference array input devices 206, and therefore, items with which they are associated, according to whatever desired electrical, magnetic, electromagnetic, sound, physical, radio frequency, or other active or passive 25 technique. In one embodiment, position sensor 208 is a pair of infrared sensors disposed on the order of a meter, sometimes more, sometimes less, apart and whose output can be processed in concert to provide position and orientation information regarding reference array input devices 206.

Also shown in the example of FIG. 2, computing functionality 210 can 30 communicate with, and receive at least one user input from a reference array input device 206. The computing functionality 210 include processing functionality, memory functionality, input/output functionality whether on a

standalone or distributed basis, via any desired standard, architecture, interface and/or network topology. In one embodiment, computing functionality 210 can be connected to a monitor 216 on which graphics and data may be presented to the surgeon during surgery. The monitor 216 preferably has a user interface adapted

5 to receive a user input from the reference array input device 206. The user interface can also permit the surgeon to point and click on the monitor 216 for tactile screen input in addition to or instead of, if desired, keyboard and mouse conventional interfaces. Additionally, a foot pedal 212 or other convenient interface may be coupled to the computing functionality 210 as can any other

10 wireless or wireline interface to allow the surgeon, nurse or other desired user to control or direct the computing functionality 210 in order to, among other things, capture position/orientation information when certain components are oriented or aligned properly. Items 214 such as trial components, instrumentation components may be tracked in position and orientation relative to body parts 202

15 and 204 using one or more reference array input devices 206.

Computing functionality 210 can process, store and output on monitor 214 and otherwise various forms of data which correspond in whole or part to body parts 202 and 204 and other components for item 214. For example, body parts 202 and 204 can be shown in cross-section or at least various internal aspects of

20 them such as bone canals and surface structure can be shown using fluoroscopic images. These images can be obtained using a C-arm attached to a reference array input device 206. The body parts, for example, tibia 202 and femur 204, can also have reference array input devices 206 attached. When fluoroscopy images are obtained using the C-arm with a reference array input device 206, a

25 position/orientation sensor 208 "sees" and tracks the position of the fluoroscopy head as well as the positions and orientations of the tibia 202 and femur 204. The computing functionality 210 stores the fluoroscopic images with this position/orientation information, thus correlating position and orientation of the fluoroscopic image relative to the relevant body part or parts. Thus, when the

30 tibia 202 and corresponding reference array input device 206 move, the computing functionality 210 automatically and correspondingly senses the new position of tibia 202 in space and can correspondingly move implements,

instruments, references, trials and/or implants on the monitor 216 relative to the image of tibia 202. Similarly, the image of the body part can be moved, both the body part and such items may be moved, or the on screen image otherwise presented to suit the preferences of the surgeon or others and carry out the 5 imaging that is desired. Similarly, when an item 214, such as a stylus, cutting block, reamer, drill, saw, extramedullary rod, intramedullary rod, or any other type of item or instrument, that is being tracked moves, its image moves on monitor 216 so that the monitor shows the item 214 in proper position and orientation on monitor 216 relative to the femur 204. The item 214 can thus appear on the 10 monitor 216 in proper or improper alignment with respect to the mechanical axis and other features of the femur 204, as if the surgeon were able to see into the body in order to navigate and position item 214 properly.

The computer functionality 210 can also store data relating to configuration, size and other properties of items 214 such as joint replacement 15 prostheses, implements, instrumentation, trial components, implant components and other items used in surgery. When those are introduced into the field of position/orientation sensor 208, computer functionality 210 can generate and display overlain or in combination with the fluoroscopic images of the body parts 202 and 204, computer generated images of joint replacement prostheses, 20 implements, instrumentation components, trial components, implant components and other items 214 for navigation, positioning, assessment and other uses.

Computer functionality 210 may also store and output virtual construct data based on the sensed position and orientation of items in the surgical field, such as surgical instruments. For example, monitor 216 can output a resection plane 25 that corresponds to the resection plane defined by a cutting guide whose position and orientation is being tracked by sensors 208. In other embodiments, monitor 216 can output a cutting track based on the sensed position and orientation of a reamer. Other virtual constructs can also be output on monitor 216, and can be displayed with or without the relevant surgical instrument, based on the sensed 30 position and orientation of any surgical instrument or other item in the surgical field to assist the surgeon or other user to plan some or all of the stages of the surgical procedure.

In some preferred embodiments of the present invention, computer functionality 210 can output on monitor 216 the projected position and orientation of an implant component or components based on the sensed position and orientation of one or more surgical instruments associated with one or more navigational arrays 206. For example, the system may track the position and orientation of a cutting block as it is navigated with respect to a portion of a body part that will be resected. Computer functionality 210 may calculate and output on monitor 216 the projected placement of the implant in the body part based on the sensed position and orientation of the cutting block. If the surgeon or other user is dissatisfied with the projected placement of the implant, the surgeon may then reposition the cutting block to evaluate the effect on projected implant position and orientation.

Additionally, computer functionality 210 can track any point in the position/orientation sensor 208 field such as by using a designator or a probe 218. The surgeon, nurse, or other user touches the tip of probe 218 to a point such as a landmark on bone structure and actuates the foot pedal 212 or otherwise instructs the computing functionality 210 to note the landmark position. The position/orientation sensor 208 "sees" the position and orientation of reference array input device 206, "knows" where the tip of probe 218 is relative to that reference array input device 206, and thus calculates and stores, and can display on monitor 216 whenever desired and in whatever form or fashion or color, the point or other position designated by probe 218 when the foot pedal 212 is hit or other command is given. Thus, probe 218 can be used to designate landmarks on bone structure in order to allow the computing functionality 210 to store and track, relative to movement of the reference array input device 206, virtual or logical information such as mechanical axis 220, medial/lateral axis 222 and anterior/posterior axis 224 of femur 204, tibia 202 and other body parts in addition to any other virtual or actual construct or reference. An example of a probe 218 can be the surgical instrument shown in FIG. 4.

In one embodiment, a reference array input device 206 can be used to calibrate a computer-aided surgical navigation system 200. Using the position and orientation information associated with the sensing of one or more fiducial

markers associated with the reference array input device 206, the computing functionality 210 can also receive additional position and orientation information from one or more indication detectors, such as 130 in FIG. 1, associated with the reference array input device 206. When a user moves a tip of a probe 218 adjacent to, or makes contact with, an indication detector, the adjacent movement or contact generates a signal that can be received by the computing functionality 210. The signal can be correlated with the known position and orientation of the indication detector relative to the previously sensed fiducial markers associated with the reference array input device 206. Additional signals provided by other interactions between the probe 218 and other indication detectors can be further utilized by the computing functionality and correlated with the known positions and orientations of those indication detectors relative to the previously sensed fiducial markers associated with the reference array input device 206.

15 Furthermore, the computing functionality 210 can receive and process a signal corresponding to a user input from a reference array input device 206. For example, a user using a surgical instrument, such as probe 218, associated with the computing functionality, such as a processor, can move the instrument or probe 218 adjacent to, or otherwise make direct contact with, an indication detector, such as 130, associated with the reference array input device 206. The adjacent movement, or direct contact with, an indication detector 130 can be detected, and a corresponding signal indicating the movement or contact can be generated and transmitted to the computing functionality 210. Computing functionality 210, such as a processor, can receive and process the signal associated with the movement or contact with the indication detector 130. The computing functionality 210 or processor can associate the signal with a predefined instruction, and can execute, facilitate, or otherwise carry out the instruction as needed.

30 In one example, the computing functionality 210 can provide a graphical user interface on a monitor 216. Each indication detector, such as 130, 132, and 134, and respective identification area, such as 136, 138, 140, of a reference array input device 206 can be associated with a corresponding instruction or

command facilitated by the user interface. In this example, a user interface can include instructions or commands, such as a size increment button, a size decrement button, and a select button. Each of these buttons can be associated with a corresponding indication detector and identification indicator. When a user 5 operates a probe 218, and moves the probe 218 adjacent to or makes contact with a particular indication detector, a signal associated with a corresponding instruction can be transmitted to, or received by the computing functionality. For example, a triangular shaped identification indicator and respective indication detector can be associated with a size increment button. Each time a user moves 10 the probe 218 adjacent to or makes contact with the indication detector, the user interface receives a signal corresponding to selecting the size increment button. Each receipt of a signal corresponds to a selection of the size increment button. Similarly, a square shaped identification indicator and respective indication detector can be associated with a size decrement button, and a user selection of 15 the indication detector can indicate a selection of the size decrement button on the user interface. In this example, use of the size increment and size decrement buttons can provide input for a desired length or dimension of an orthopedic device to be installed in a body. Furthermore, a circle shaped identification indicator and respective indication detector can be associated with a select/enter 20 button associated with the user interface. A select/enter button can provide a select command associated with the user interface. A select/enter button can provide a select command or instruction within the user interface to select and enter a particular desired command or instruction in the user interface, for example, selecting and entering a desired size previously designated by either or 25 both of the size increment and size decrement buttons. User selection of the indication detector associated with the circle shaped identification indicator can indicate selection of the select/enter button on the user interface. When the select/enter button is selected via the corresponding indication detector and circle shaped identification indicator, the user can select and enter a particular 30 command or instruction displayed by the user interface, such as a previously designated or desired size.

In at least one embodiment, an identification indicator and respective indication detector can be associated with a tab/focus button associated with the user interface. A tab/focus button can provide a tab/focus command or instruction within the user interface to alternate between other commands or 5 instructions in the user interface, for example, alternating between the size increment and size decrement buttons. User selection of the indication detector associated with the circle shaped identification indicator can indicate selection of the tab/focus button on the user interface. When the tab/focus button is selected via the corresponding indication detector and circle shaped identification 10 indicator, the user can move through a series of commands or instructions displayed by the user interface, and select a desired command or instruction.

In other embodiments, other instructions or commands associated with a user interface can be associated with an indication detector and respective identification indicator.

15 A reference array input device according to an embodiment of the present invention such as the subject of FIG. 1, can use or operate in conjunction with the so-called FluoroNAV system and software provided by Medtronic Sofamor Danek Technologies. Such systems or aspects of them are disclosed in USPNs 5,383,454; 5,871,445; 6,146,390; 6,165,81; 6,235,038 and 6,236,875, and 20 related (under 35 U.S.C. Section 119 and/or 120) patents, which are all incorporated herein by this reference. Any other desired systems can be used as mentioned above for imaging, storage of data, tracking of body parts and items and for other purposes.

25 The FluoroNav system can require the use of reference frame type fiducials which have three, four, and in some cases, five elements tracked by infrared sensors for position/orientation of the fiducials and thus of the body part, implement, instrumentation, trial component, implant component, or other device or structure being tracked. Such systems can also use at least one probe 218 which the surgeon can use to select, designate, register, or otherwise make 30 known to the system a point or points on the anatomy or other locations by placing the probe as appropriate and signaling or commanding the computer to note the location of, for instance, the tip of the probe. The FluoroNav system can

also track position and orientation of a C-arm used to obtain fluoroscopic images of body parts to which fiducials have been attached for capturing and storage of fluoroscopic images keyed to position/orientation information as tracked by the sensors 208. Thus, the monitor 216 can render fluoroscopic images of bones in 5 combination with computer generated images of virtual constructs and references together with implements, instrumentation components, trial components, implant components and other items used in connection with surgery for navigation, resection of bone, assessment and other purposes.

Various embodiments of the invention can be used with point of class-type, 10 registration-type, and other surgical location and preparation techniques and methods. For example, in one prosthetic installation procedure, a surgeon can designate a center of rotation of a patient's femoral head for purposes of establishing the mechanical axis and other relevant constructs relating to the patient's femur according to which prosthetic components can ultimately be 15 positioned. Such center of rotation can be established by articulating the femur within the acetabulum or a prosthesis to capture a number of samples of position and orientation information and thus in turn to allow the computer to calculate the average center of rotation. The center of rotation can be established by using a probe associated with a reference array input device 206, and designating a 20 number of points on the femoral head and thus allowing the computer to calculate the geometrical center or a center which corresponds to the geometry of points collected. Additionally, graphical representations such as controllably sized circles displayed on the monitor can be fitted by the surgeon to the shape of the femoral head on planar images using tactile input on screen to designate the 25 centers according to that graphic, such as are represented by the computer as intersection of axes of the circles. Other techniques for determining, calculating or establishing points or constructs in space, whether or not corresponding to bone structure, can be used in accordance with the present invention.

In another example, a reference array input device 206 according to 30 various embodiments of the invention can be used in designation or registration of items which will be used in surgery. Registration simply means, however it is accomplished, ensuring that the computer knows which body part, item or

construct corresponds to which fiducial or fiducials, and how the position and orientation of the body part, item or construct is related to the position and orientation of its corresponding fiducial or a fiducial attached to an impactor or other component which is in turn attached to an item. Such registration or

5 designation can be done before or after registering bone or body parts. In one instance, a technician can designate with a probe an item such as an instrument component to which a reference array input device 206 is attached. A sensor associated with a computer-aided surgical navigational system can "see" the position and orientation of the reference array input device 206 attached to the

10 item and also the position and orientation of another reference array input device attached to the probe whose tip is touching one or more indication detectors of the reference array input device 206. The technician can designate onscreen or otherwise the identification of the item and then activates the foot pedal or otherwise instructs the computer to correlate the data corresponding to such

15 identification, such as data needed to represent a particular cutting block component for a particular knee implant product, with the particularly shaped reference array input device 206 attached to the component. The computer has then stored identification, position and orientation information relating to the navigational array for the component correlated with the data such as

20 configuration and shape data for the item so that upon registration, when the sensor can track the item and reference array input device 206 in the infrared field, the monitor can show the cutting block component moving and turning, and properly positioned and oriented relative to the body part which is also being tracked.

25 Similarly, the mechanical axis and other axes or constructs of body parts can also be "registered" for tracking by the system. Again, the computer-aided surgical navigational system can employ a fluoroscope to obtain images of the patient's femoral head, knee and ankle, or other body parts. The system can correlate such images with the position and orientation of the C-arm and the

30 patient anatomy in real time as discussed above with the use of one or more reference array input device 206 placed on the body parts before image acquisition and which remain in position during the surgical procedure. Using

these images and/or the probe, the surgeon can select and register in the computer the center of the femoral head and ankle in orthogonal views, usually anterior/posterior and lateral, on a touch screen. The surgeon can use the probe to select any desired anatomical landmarks or references at the operative site of

5 the knee or on the skin or surgical draping over the skin, as on the ankle. These points can be registered in three dimensional space by the system and can be tracked relative to the navigational arrays on the patient anatomy which are preferably placed intraoperatively. Although registering points using actual bone structure is one preferred way to establish the axis, a cloud of points approach by

10 which the probe is used to designate multiple points on the surface of the bone structure can be employed, as can moving the body part and tracking movement to establish a center of rotation as discussed above. Once the center of rotation for the femoral head and the condylar component have been registered, the computer can calculate, store, and render, and otherwise use data for, the

15 mechanical axis of the femur.

In one example, a tibial mechanical axis can be established by designating points to determine the centers of the proximal and distal ends of a patient's tibia so that the mechanical axis can be calculated, stored, and subsequently used by the computer. A posterior condylar axis can also be determined by designating

20 points or as otherwise desired, as rendered on the computer generated geometric images overlaid or displayed in combination with the fluoroscopic images; all of which are keyed to one or more reference array input devices being tracked by sensors associated with the computer-aided surgical navigational system.

25 The above methods and techniques are provided by way of example only, and other embodiments of the present invention can be used with other surgical location and preparation techniques and methods.

FIG. 3 shows a reference array input device according to another embodiment of the present invention. This example is similar to the device

30 shown in FIG. 1, and is capable of being tracked with a computer-aided surgical navigation system as well as providing a user input for an instruction or command associated with a computer-aided surgical navigation system. The computer-

aided surgical navigation system used to track the reference array input device 300 can be similar to the system shown in FIG. 2. In the example shown in FIG. 3, the reference array input device 300 can be rigidly attached to a portion of a patient's body, such as a tibia adjacent to the knee. Attachment of the reference array input device 300 preferably is accomplished using a structure that corresponds with the respective mounts of the reference array input device. Such structures can preferably withstand vibration of surgical saws and other phenomenon which occur during surgery without allowing any substantial movement of the reference array input device 300 relative to the body part being tracked by the computer-aided surgical navigation system.

The fiducial markers or marker elements of the reference array input device 300, 400 shown are capable of being tracked by sensors 208 of the computer-aided surgical navigation system. Thus, when the fiducial markers or marker-elements are sensed by the computer-aided surgical navigation system, the system can determine positions associated with the reference array input device 300.

Moreover, indication detectors of the reference array input device 300 shown are capable of transmitting a user input of corresponding instructions or commands associated with the computer-aided surgical navigation system. Thus, when a user moves a tip of a probe or other surgical instrument associated with the computer-aided surgical navigation system adjacent to or makes direct contact with an indication detector, the system can receive a signal associated with a selection of a corresponding user input of a particular instruction or command.

FIG. 4 illustrates an associated surgical instrument for use with a reference array input device according to another embodiment of the present invention. A surgical instrument for use with a reference array input device can be a probe 400 with a stainless steel tip 402. The tip 402 is adapted to be sensed by, or to detect, an adjacent indication detector associated with a reference array input device, such as indication detector 130 of device 100. Other portions of the probe 400 can be sensed and detected by way of one or more fiducials 404. The interaction between the tip 402 and the indicator area 130 can generate or

otherwise cause a signal to be transmitted to a computer-aided surgical navigation system. Thus, when the indicator area 130 is associated with a particular instruction or command associated with the computer-aided surgical navigation system, a user's designation of the indicator area 130 with the tip 402 of the probe 400 can transmit or cause the transmission of a signal associated with user input of the instruction or command. In at least one embodiment, the user will view a user interface associated with a monitor, such as 216 in FIG. 2, for the computer-aided surgical navigation system. The user's selection of an indication detector 130 corresponding to a desired command or instruction can be graphically displayed and reviewed via the user interface.

FIG. 5 illustrates a flowchart of a method 500 of use for a reference array input device according to an embodiment of the present invention and a computer-aided surgical navigation system.

The method begins at block 502. At block 502, a reference array input device is provided. In the embodiment shown in FIG. 5, the reference array input device can be similar to the reference array input device 100 shown in FIG. 1. The reference array input device in this example can include a plurality of fiducial members, such as a first, second, and third fiducial member, capable of being positioned for sensing by at least two sensors associated with a computer-aided surgical navigation system (shown as 200 in FIG. 2) in order to determine position and orientation of the reference array input device by the system. Each fiducial member can include a portion adapted to be sensed by a computer-aided surgical navigation system, such as a fiducial marker or marker element. Furthermore, the reference array input device can also include a plurality of indication detectors, such as a first, second, and third indication detector, capable of facilitating selection of a corresponding instruction associated with the computer-aided surgical navigation system. Moreover, the reference array input device can include a mount adapted to support the reference array input device adjacent to an object.

Block 502 is followed by block 504, in which a reference array input device is mounted adjacent to an object. The mount associated with the reference array input device can be utilized to support the reference array input device adjacent

to an object, such as a portion of a patient's body. An object in this embodiment can include at least one of the following: a patient's bone, a surgical implement, a surgical reference, a surgical trial, an implant, a cutting block, a reamer, a drill, a saw, an extramedullary rod, and an intramedullar rod.

5 Block 504 is followed by block 506, in which a portion of at least three of the fiducial members is sensed by the computer-aided surgical navigation system. In the embodiment shown in FIG. 5, a computer-aided surgical navigation system similar to that shown in FIG. 2, can be used to sense portions of at least three fiducial members associated with the reference array input 10 device.

Block 506 is followed by block 508, in which a position associated with the object is determined based at least in part on sensing the portions of the at least three fiducial members.

Block 508 is followed by block 510, in which at least one of the indication 15 detectors is selected, whereby a corresponding instruction can be received by the computer-aided surgical navigation system.

The method 500 ends at block 510. Other method elements can exist in accordance with embodiments of the invention.

FIG. 6 illustrates a flowchart of a method 600 of use for an apparatus 20 according to an embodiment of the present invention and a computer-aided surgical navigation system.

The method begins at block 602. At block 602, a reference array input device is provided. In the embodiment shown in FIG. 6, the reference array input device can be similar to the reference array input device 100 shown in FIG. 1. 25 The reference array input device in this example can include a plurality of fiducial members, such as a first, second, third, and fourth fiducial member, capable of being positioned for sensing by at least two sensors associated with a computer-aided surgical navigation system (shown as 200 in FIG. 2) in order to determine position and orientation of the reference array input device by the system. Each 30 fiducial member can include a portion adapted to be sensed by a computer-aided surgical navigation system, such as a fiducial marker or marker element. Furthermore, the reference array input device can also include a plurality of

indication detectors, such as a first, second, and third indication detector, capable of facilitating selection of a corresponding instruction associated with the computer-aided surgical navigation system. Moreover, the reference array input device can include a mount adapted to support the reference array input device adjacent to an object.

5 Block 602 is followed by block 604, in which a reference array input device is mounted adjacent to a surgical instrument. A surgical instrument in this embodiment can include at least one of the following: a surgical implement, a surgical reference, a surgical trial, an implant, a cutting block, a reamer, a drill, a 10 saw, an extramedullary rod, and an intramedullary rod.

10 Block 604 is followed by block 606, in which a portion of at least three of the fiducial members is sensed by the computer-aided surgical navigation system. In the embodiment shown in FIG. 6, a computer-aided surgical navigation system similar to that shown in FIG. 2, can be used to sense portions 15 of at least three fiducial members associated with the navigational array.

Block 606 is followed by block 608, in which a position associated with the apparatus is determined based at least in part on sensing the portions of the at least three fiducial members.

20 Block 608 is followed by block 610, in which at least one of the indication detectors is selected, whereby a corresponding instruction is received by the computer-aided surgical navigation system.

The method 600 ends at block 610. Other method elements can exist in accordance with embodiments of the invention.

FIG. 7 illustrates a flowchart of a method 700 of use for a reference array 25 input device according to an embodiment of the present invention and a computer-aided surgical navigation system.

The method begins at block 702. At block 702, a reference array input device is provided. In the embodiment shown in FIG. 7, the reference array input device can be similar to the reference array input device 100 shown in FIG. 1. 30 The reference array input device in this example can include a plurality of fiducial members, such as a first, second, third, and fourth fiducial member, capable of being positioned for sensing by at least two sensors associated with a computer-

aided surgical navigation system (shown as 200 in FIG. 2) in order to determine position and orientation of the array by the system. Each fiducial member can include a portion adapted to be sensed by a computer-aided surgical navigation system, such as a fiducial marker or marker element. Furthermore, the reference array input device can also include a plurality of indication detectors, such as a first, second, and third indication detector, capable of facilitating selection of a corresponding instruction associated with the computer-aided surgical navigation system. Moreover, the reference array input device can include a mount adapted to support the reference array input device adjacent to an object.

10 Block 702 is followed by block 704, in which a navigational array is mounted adjacent to a joint replacement prosthesis. A joint replacement prosthesis can include, but is not limited to, a tibial component, and a femoral component.

15 Block 704 is followed by block 706, in which a portion of at least three of the fiducial members can be sensed by a computer-aided surgical navigation system.

Block 706 is followed by block 708, in which a position associated with the joint replacement prosthesis is determined based in part on sensing the portions of the at least three of the fiducial members.

20 Block 708 is followed by block 710, in which at least one of the indication detectors is selected, whereby a corresponding instruction is received by the computer-aided surgical navigation system.

Block 710 is followed by block 712, in which the joint replacement prosthesis is mounted to another corresponding joint replacement prosthesis for 25 a joint replacement.

At block 712, the method 700 ends.

Changes and modifications, additions and deletions may be made to the structures and methods recited above and shown in the drawings without departing from the scope of the invention and the following claims.

The invention claimed is:

1. A reference array input device (100) apparatus for use by a computer-aided surgical navigation system (208), wherein the reference array input device can be positioned for sensing by at least two sensors (208) associated with the computer-aided surgical navigation system (200) in order to determine position and orientation of the reference array input device (100) by the system (200), the reference array input device (100) apparatus characterised by:
  - a plurality of fiducial members (102, 104, 106) adapted to be sensed by a computer-aided surgical navigation system (200), wherein a position and orientation associated with the reference array input device (100) can be determined from sensing at least three of the fiducial members by a computer-aided surgical navigation system;
  - a plurality of indication detectors (130, 132, 134) adapted to facilitate selection of a corresponding instruction for a computer-aided surgical navigation system (200); and
  - a mount (114) adapted to support the reference array input device (100) adjacent to an object.
2. The apparatus of claim 1 or 14, wherein at least three of the fiducial members are oriented in a flat, coplanar, Y-shaped configuration.
3. The apparatus of claim 1 or 14, wherein at least a portion of each fiducial member can be sensed by the computer-aided surgical navigation system using at least one of the following: infrared, sound, visual, magnetic, electromagnetic, and x-ray.
4. The apparatus of claim 1 or 14, wherein each fiducial member comprises a respective fiducial marker.
- 30 5. The apparatus of claim 4, wherein the fiducial markers can be sensed by a computer-aided surgical navigation system using at least one of the following: infrared, sound, visual, magnetic, electromagnetic, and x-ray.

6. The apparatus of claim 4 wherein the fiducial markers can be at least one of the following: a geometric shape, a sphere, a block, and a plate.
- 5 7. The apparatus of claim 1 or 14, wherein each indication detector can comprise at least one of the following: an input button, an input device, a contact device, a sensor device, a detector device, a transmitter device, a receiver device, or an infrared device.
- 10 8. The apparatus of claim 1 or 14, wherein each indication detector can further comprise an identification indicator.
9. The apparatus of claim 8, wherein the identification indicator can comprise at least one of the following: a triangle, a square, a circle, a star, a polygon, an oval, a unique geometrical shape, a number, a letter, alphanumeric text, a symbol, a color.
10. The apparatus of claim 1 or 14, wherein the instruction for a computer-aided surgical navigation system can comprise at least one of the following: a tab command, a focus command, a select command, an increment function, a decrement function, a forward function, a backward function, a functional command, a function, an operational command, an operation.
- 25 11. The apparatus of claim 1 or 14, wherein the instruction for a computer-aided surgical navigation system is associated with at least one of the following: a size selection, a shape selection, a numeric selection, an alphanumeric selection, a selection of a range of sizes, a selection of a range of numbers, a material selection, a body component selection, an orthopedic component selection, or a surgical procedure selection.

12. The apparatus of claim 1 or 14, wherein the mount can be mounted to at least one of the following: a portion of a patient's body, a surgical instrument, a surgical item, an item associated with a surgery.

5 13. The apparatus of claim 1 or 14, wherein the mount comprises at least one of the following: a pronged connector, a magnet, a threaded connector, an adhesive, and a bone screw.

14. An apparatus for use by a computer-aided surgical navigation system,  
10 wherein the apparatus can be positioned for sensing by at least two sensors (208) associated with the computer-aided surgical navigation system (200) in order to determine position and orientation of the apparatus by the system, the system characterised by:

- (a) a surgical instrument; and
- 15 (b) a reference array input device (100) comprising:
  - (i) a plurality of fiducial members (102, 104, 106) adapted to be sensed by the computer-aided surgical navigation system, wherein a position and orientation associated with the navigational array can be determined from sensing at least three of the fiducial members by a computer-aided surgical 20 navigation system;
  - (ii) a plurality of indication detectors (130, 132, 134) adapted to facilitate selection of a corresponding instruction for a computer-aided surgical navigation system (200); and
  - (iii) a mount (110) adapted to support the reference array input 25 device (100) adjacent to the surgical instrument.

15. The apparatus of claim 14, wherein the surgical instrument is further characterised by at least one of the following: a surgical implement, a surgical reference, a surgical trial, an implant, a cutting block, a reamer, a drill, a saw, an 30 extramedullary rod, and an intramedullary rod.

16. A method for performing a surgical procedure using a reference array input device (100) and a computer-aided surgical navigation system (200), wherein the reference array input device can be positioned for sensing by at least two sensors (208) associated with the computer-aided surgical navigation system in 5 order to determine position and orientation of the array by the system, the method further characterised by:

(a) mounting a reference array input device (100) adjacent to an object (214), wherein the reference array input device comprises:

10 (i) a plurality of fiducial members (102, 104, 106) adapted to be sensed by the computer-aided surgical navigation system, wherein a position and orientation associated with the navigational array can be determined from sensing at least three of the fiducial members by a computer-aided surgical navigation system;

15 (ii) a plurality of indication detectors (130, 132, 134) adapted to facilitate selection of a corresponding instruction for a computer-aided surgical navigation system (200); and

(iii) a mount (114) adapted to support the reference array input device adjacent to an object;

20 (b) sensing a portion of at least three of the fiducial members by a computer-aided surgical navigation system;

(c) determining a position associated with the object based in part on sensing the portions of the at least three of the fiducial members; and

25 (d) selecting at least one of the indication detectors, whereby a corresponding instruction can be received by the computer-aided surgical navigation system.

17. The method of claim 14, 29, and 30, wherein at least three of the fiducial members are oriented in a flat, coplanar, Y-shaped configuration.

30 18. The method of claim 14, 29, and 30, wherein at least a portion of each fiducial member can be sensed by the computer-aided surgical navigation system

using at least one of the following: infrared, sound, visual, magnetic, electromagnetic, and x-ray.

19. The method of claim 14, 29, and 30, wherein each fiducial member  
5 comprises a respective fiducial marker.

20. The method of claim 19, wherein the fiducial markers can be sensed by a computer-aided surgical navigation system using at least one of the following: infrared, sound, visual, magnetic, electromagnetic, and x-ray.

10 21. The method of claim 19, wherein the fiducial markers can be at least one of the following: a geometric shape, a sphere, a block, and a plate.

22. The method of claim 14, 29, and 30, wherein each indication detector can  
15 comprise at least one of the following: an input button, an input device, a contact device, a sensor device, a detector device, a transmitter device, a receiver device, or an infrared device.

23. The method of claim 22, wherein each indication detector can further  
20 comprise an identification indicator.

24. The method of claim 22, wherein the identification indicator can comprise at least one of the following: a triangle, a square, a circle, a star, a polygon, an oval, a unique geometrical shape, a number, a letter, alphanumeric text, a  
25 symbol, a color.

25. The method of claim 14, 29, and 30, wherein the instruction for a computer-aided surgical navigation system can comprise at least one of the following: a tab command, an increment function, a decrement function, a forward  
30 function, a backward function, a functional command, a function, an operational command, an operation.

26. The method of claim 14, 29, and 30, wherein the instruction for a computer-aided surgical navigation system is associated with at least one of the following: a size selection, a shape selection, a numeric selection, an alphanumeric selection, a selection of a range of sizes, a selection of a range of 5 numbers, a material selection, a body component selection, an orthopedic component selection, or a surgical procedure selection.

27. The method of claim 14, 29, and 30, wherein the mount can be mounted to at least one of the following: a portion of a patient's body, a surgical instrument, a 10 surgical item, an item associated with a surgery.

28. The method of claim 14, 29, and 30, wherein the mount comprises at least one of the following: a pronged connector, a magnet, a threaded connector, an adhesive, and a bone screw.

15

29. A method for performing a surgical procedure using an apparatus and a computer-aided surgical navigation system (200), wherein the apparatus can be positioned for sensing by at least two sensors (208) associated with the computer-aided surgical navigation system in order to determine position and 20 orientation of the apparatus by the system, the method further characterised by:

(a) mounting a reference array input device (100) adjacent to a surgical instrument, wherein the reference array input device comprises:

(i) a plurality of fiducial members (102, 104, 106) adapted to be sensed by the computer-aided surgical navigation system, wherein a position and 25 orientation associated with the navigational array can be determined from sensing at least three of the fiducial members by a computer-aided surgical navigation system;

(ii) a plurality of indication detectors (130, 132, 134) adapted to facilitate selection of a corresponding instruction for a computer-aided surgical 30 navigation system (200); and

(iii) a mount (114) adapted to support the navigational array adjacent to the surgical instrument;

(b) sensing a portion of at least three of the fiducial members by a computer-aided surgical navigation system; and

(c) determining a position associated with the surgical instrument based in part on sensing the portions of the at least three of the fiducial members; and

5 (d) selecting at least one of the indication detectors, whereby a corresponding instruction can be received by the computer-aided surgical navigation system.

30. A surgical method for locating a position of a joint replacement prosthesis  
10 (214) associated with a reference array input device (100) using a computer-aided surgical navigation system (208), wherein the reference array input device can be positioned for sensing by at least two sensors (208) associated with the computer-aided surgical navigation system in order to determine position and orientation of the reference array input device by the system, the method further  
15 characterised by:

(a) providing a reference array input device (100), wherein the reference array input device comprises:

(i) a plurality of fiducial members (102, 104, 106) adapted to be sensed by the computer-aided surgical navigation system, wherein a position and  
20 orientation associated with the reference array input device can be determined from sensing at least three of the fiducial members by a computer-aided surgical navigation system;

(ii) a plurality of indication detectors (130, 132, 134) adapted to facilitate selection of a corresponding instruction for a computer-aided surgical  
25 navigation system (200); and

(iii) a mount (114) adapted to support the reference array input device adjacent to a joint replacement prosthesis;

(b) mounting the reference array input device adjacent to a joint replacement prosthesis (212);

30 (c) sensing a portion of at least three of the fiducial members by a computer-aided surgical navigation system;

- (d) determining a position associated with the joint replacement prosthesis based in part on sensing the portions of the at least three of the fiducial members;
- 5 (e) selecting at least one of the indication detectors, whereby a corresponding instruction can be received by the computer-aided surgical navigation system; and
- (f) mounting the joint replacement prosthesis to another corresponding joint replacement prosthesis for a joint replacement.

10 31. The method of claim 30, wherein the joint replacement prosthesis is further characterised by at least one of the following: a tibial component, a femoral component.

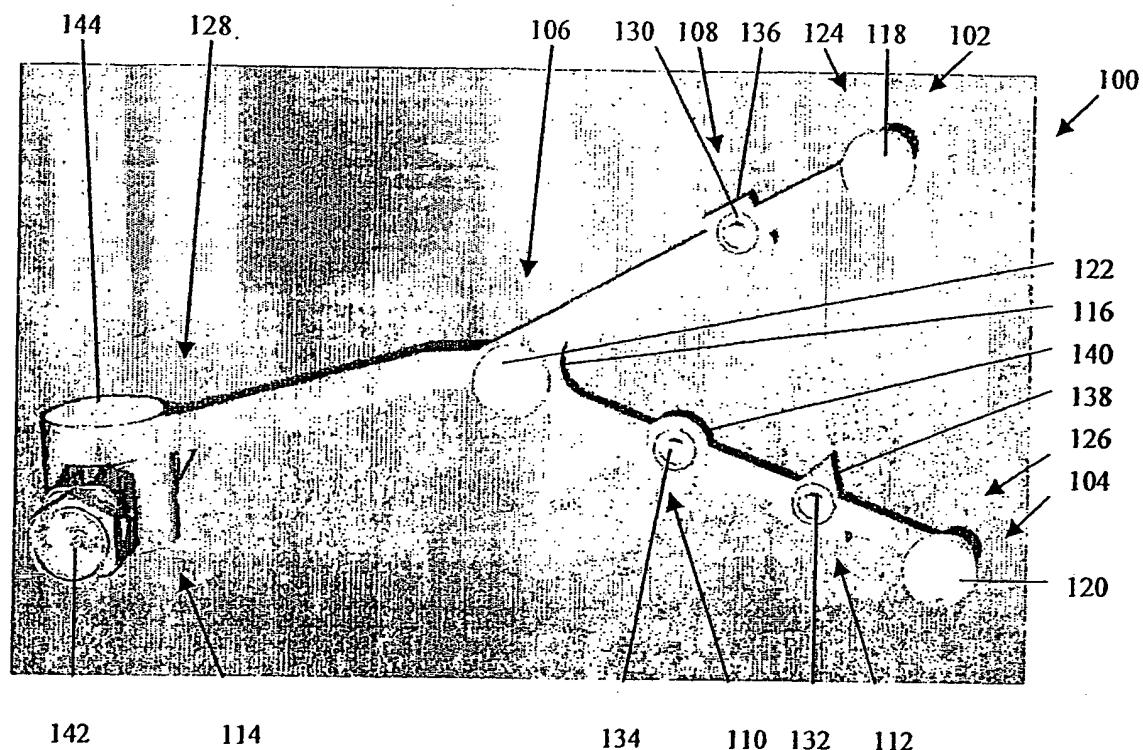


FIG. 1

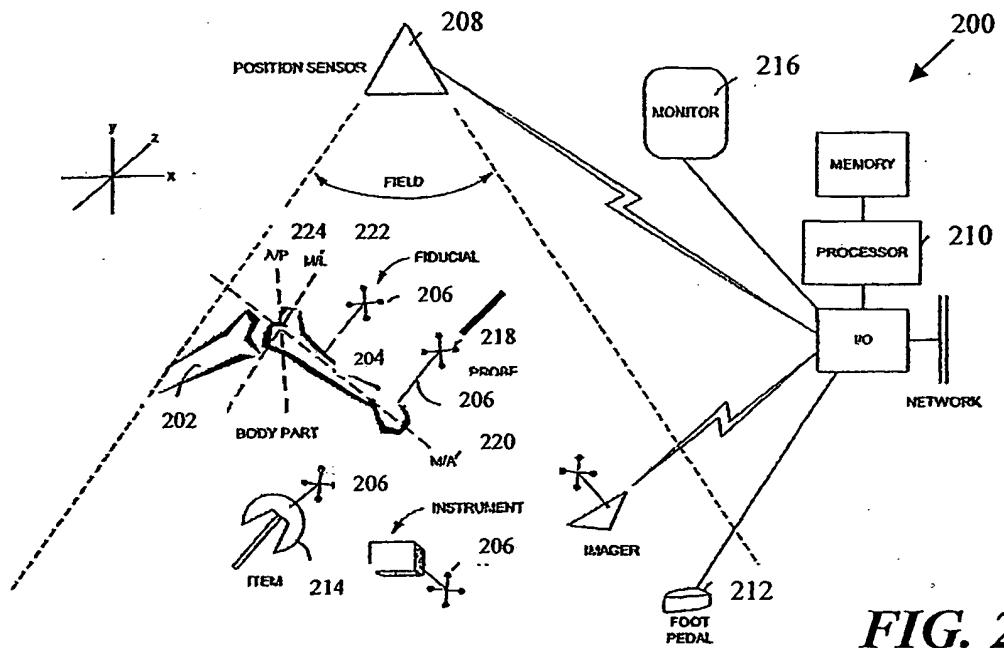
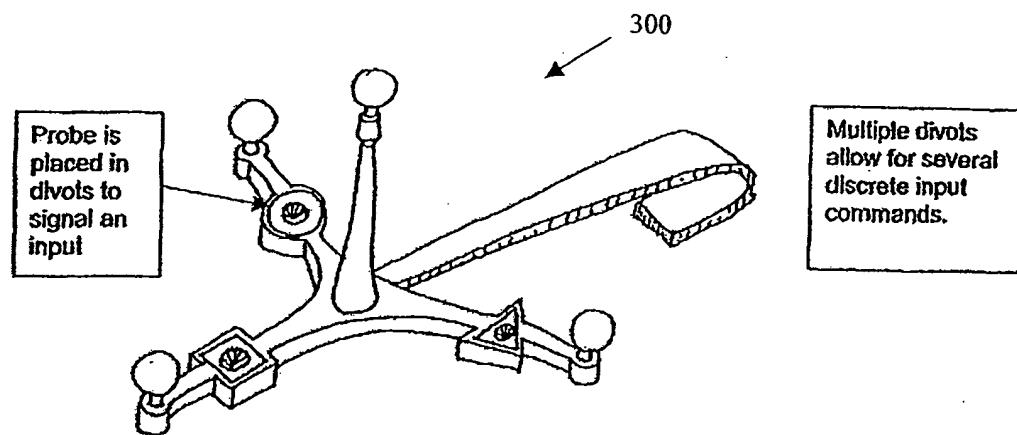
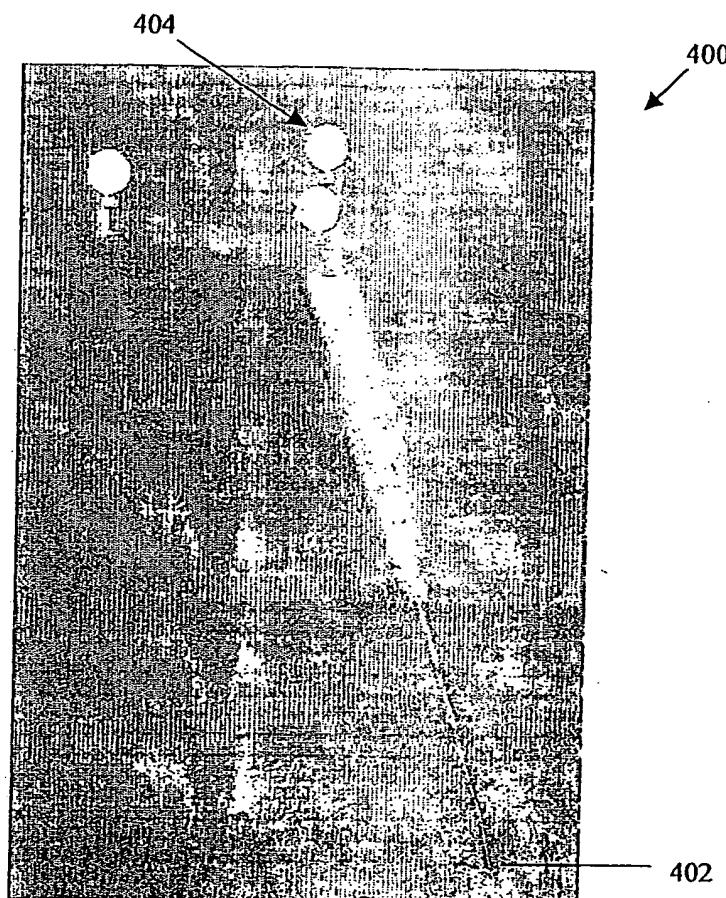


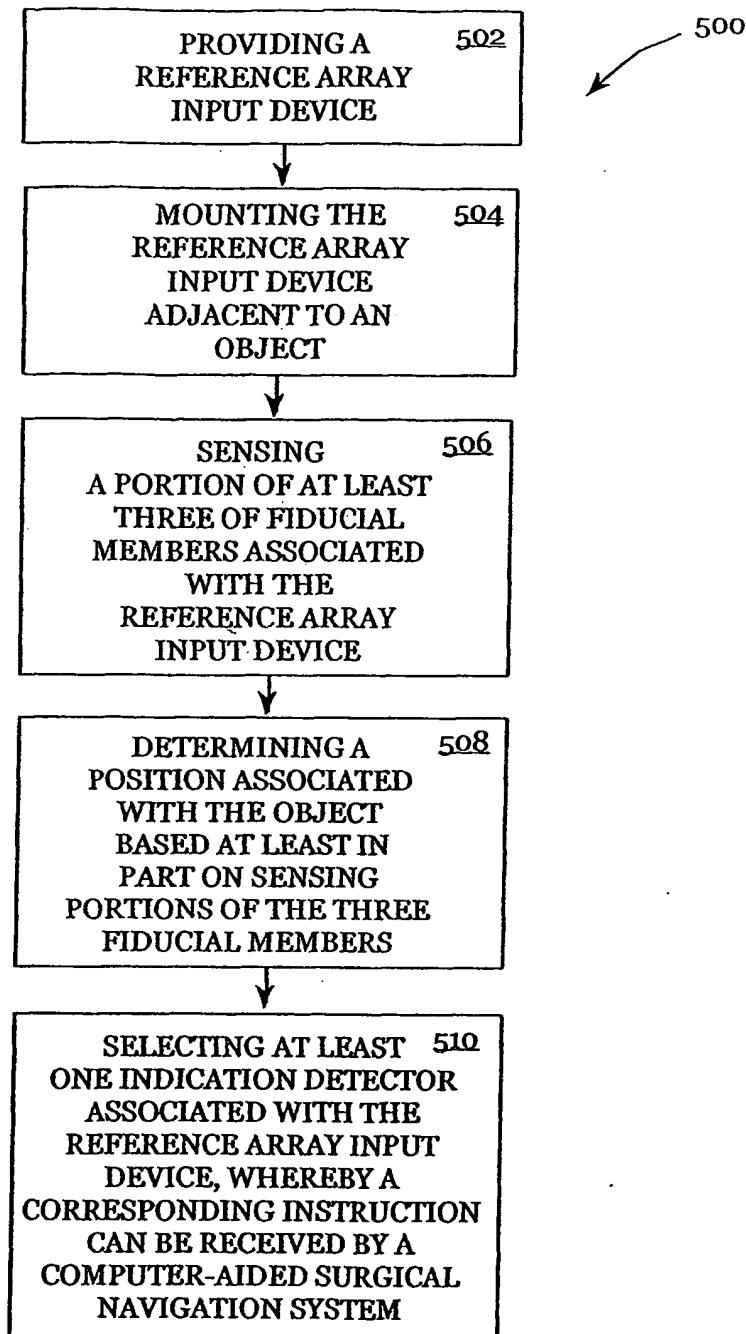
FIG. 2

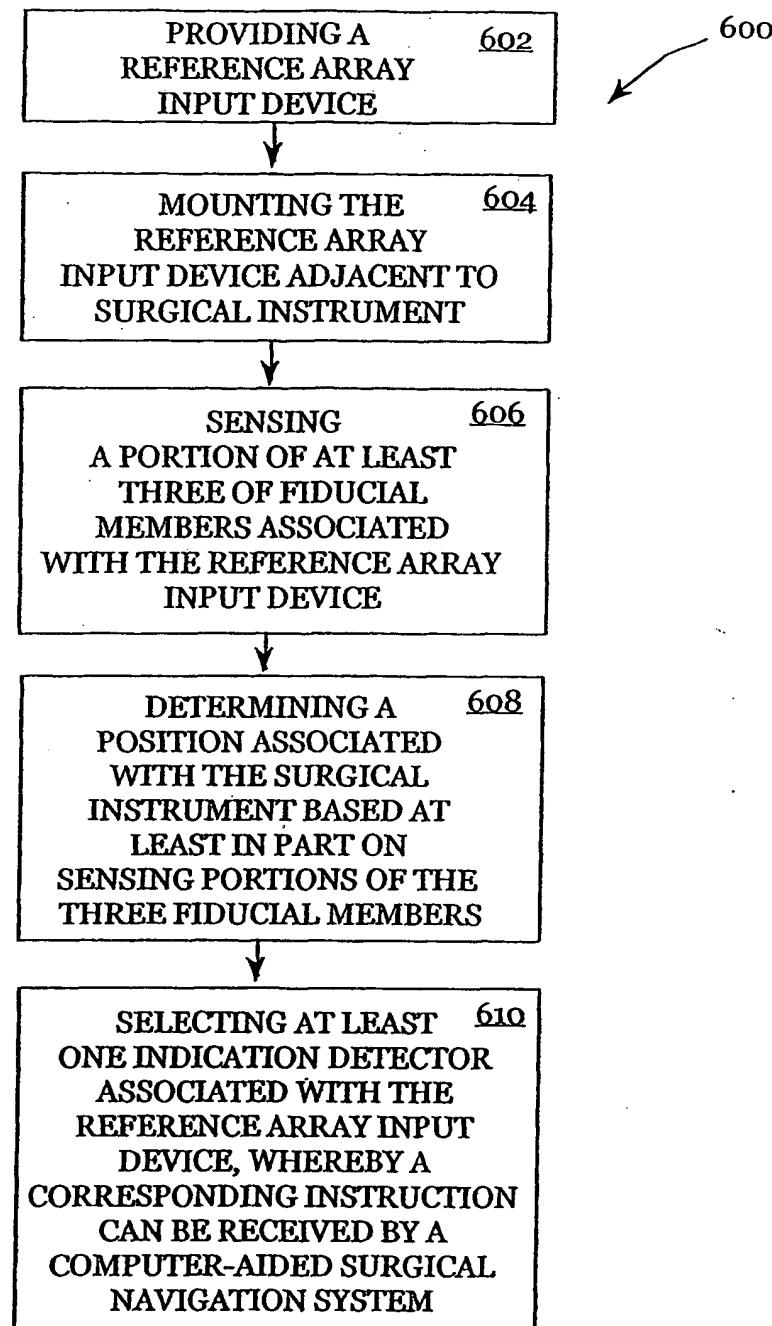


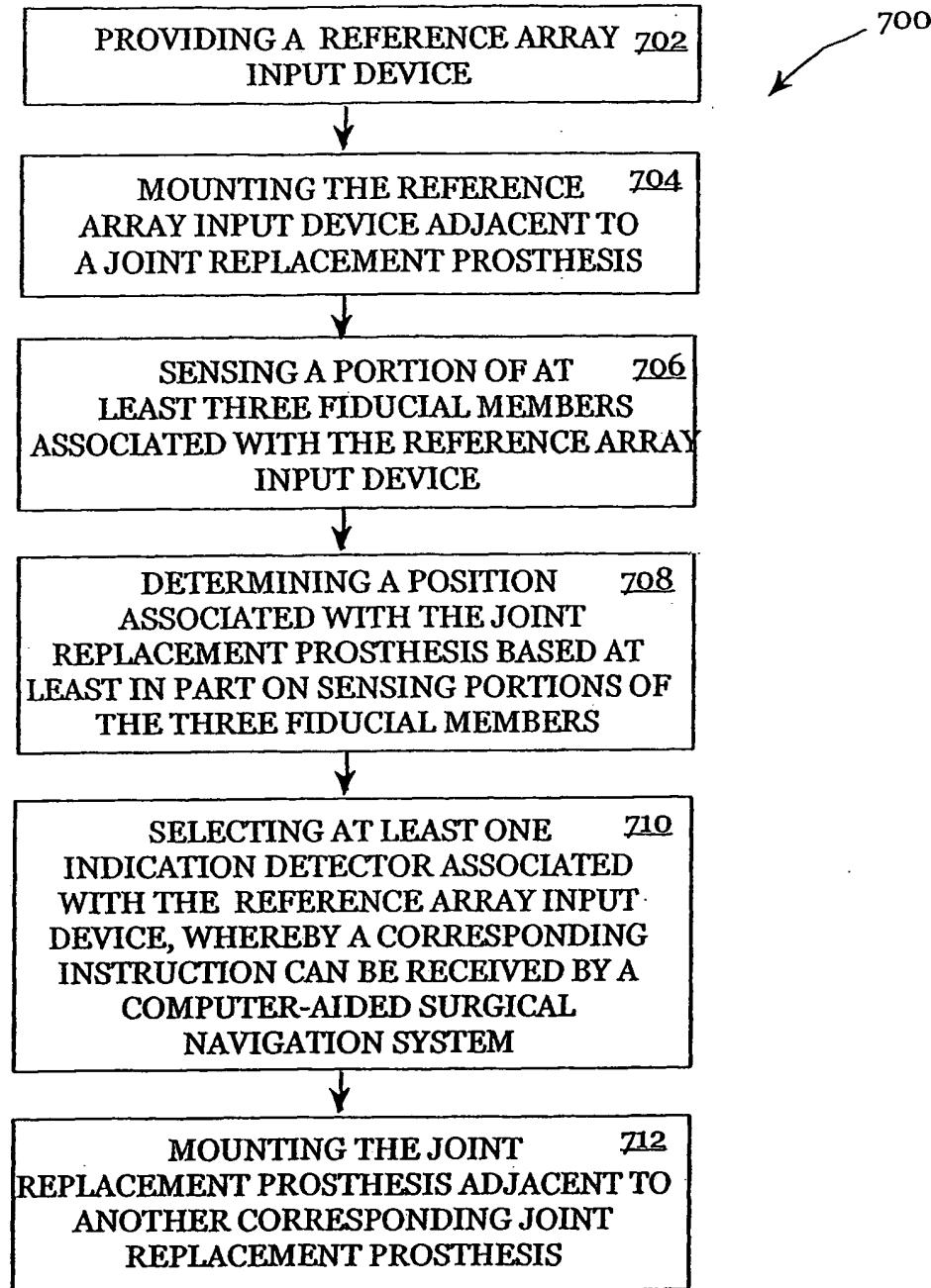
***FIG. 3***



***FIG. 4***

**FIG. 5**

**FIG. 6**



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2005/010871A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61B19/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 02/24096 A (MEDTRONIC, INC) 28 March 2002 (2002-03-28) sentence 4 – sentence 19; figure 8	1-13
X	WO 97/29683 A (BIOSENSE, INC; ACKER, DAVID, E; ZILBERSTEIN, JOEL) 21 August 1997 (1997-08-21) page 17, line 4 – page 18, line 14; figure 9	1-9, 12-15
A	DE 201 03 416 U1 (BRAINLAB AG) 5 July 2001 (2001-07-05) figure 1	2
X	DE 100 45 381 A1 (AESCLAP AG & CO. KG) 11 April 2002 (2002-04-11) paragraphs '0013! – '0016!, '0033! – '0037!; figures 3,4	1,3-7; 10-15
		-/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the International filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the International filing date but later than the priority date claimed

- "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "Z" document member of the same patent family

Date of the actual completion of the International search	Date of mailing of the International search report
26 July 2005	04/08/2005
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5618 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl Fax (+31-70) 340-3016	Herberhold, C

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2005/010871

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2001/036245 A1 (KIENZLE THOMAS C ET AL) 1 November 2001 (2001-11-01) paragraphs '0132!, '0139!	1-15
X	US 2003/187351 A1 (FRANCK JOEL I ET AL) 2 October 2003 (2003-10-02) paragraphs '0077!, '0082!, '0083!; figure 4	1, 3-7, 10-15

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2005/010871

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 16-31 because they relate to subject matter not required to be searched by this Authority, namely:  
**Rule 39.1(iv) PCT – Method for treatment of the human or animal body by surgery**  
**Rule 39.1(iv) PCT – Method for treatment of the human or animal body by therapy**
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2005/010871

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0224096	A	28-03-2002	AT DE EP WO US	292424 T 60109949 D1 1322247 A1 0224096 A1 2002042619 A1	15-04-2005 12-05-2005 02-07-2003 28-03-2002 11-04-2002
WO 9729683	A	21-08-1997	AU AU AU AU CA CA DE DE EP EP EP EP ES IL IL JP JP WO WO US US US	706052 B2 1860597 A 729805 B2 2132397 A 2246290 A1 2246343 A1 69726415 D1 69726415 T2 1481635 A2 0883374 A1 0891152 A1 2210498 T3 125760 A 125761 A 2000507845 T 2000506259 T 9729683 A1 9729685 A1 6366799 B1 6618612 B1 5700889 A	10-06-1999 02-09-1997 08-02-2001 02-09-1997 21-08-1997 21-08-1997 08-01-2004 16-09-2004 01-12-2004 16-12-1998 20-01-1999 01-07-2004 31-07-2003 17-05-2005 27-06-2000 23-05-2000 21-08-1997 21-08-1997 02-04-2002 09-09-2003 23-12-1997
DE 20103416	U1	05-07-2001	EP US US	1190676 A1 2002068942 A1 2002107518 A1	27-03-2002 06-06-2002 08-08-2002
DE 10045381	A1	11-04-2002	NONE		
US 2001036245	A1	01-11-2001	US AU EP WO	6285902 B1 3857900 A 1158891 A2 0047103 A2	04-09-2001 29-08-2000 05-12-2001 17-08-2000
US 2003187351	A1	02-10-2003	US US US US US	6546277 B1 6273896 B1 6529765 B1 2001027271 A1 6282437 B1 6351662 B1	08-04-2003 14-08-2001 04-03-2003 04-10-2001 28-08-2001 26-02-2002

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**